

Receipt \$  
2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of S. Lee )

Application No.: 09/898,651 )

Filed: July 3, 2001 )

Group Art Unit: 2161 )

Conf. No.: 9587 )

For: LOTTERY BUYING AND SELLING )  
SYSTEM INTRODUCING REAL-TIME )  
ECONOMIC VARIABLE INDEXES )  
DATA CHARACTERISTICS )

CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.8  
I hereby certify that this paper is being deposited in the United States mail as first class [Priority] mail with postage prepaid, and is addressed to:  
Commissioner for Patents, U.S. Patent and Trademark Office, Washington D.C. 20231, on

9/27/01  
Date

Michelle Maloney

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed August 21, 2001, the following documents are submitted herewith:

- 1) A copy of the Notice to File Missing Parts;
- 2) Request for Corrected Filing Receipt;
- 3) A check in the amount of \$65.00 to cover the surcharge;
- 4) Declaration for Patent Application;
- 5) Preliminary Amendment; and
- 6) Return Postcard for this transmittal.

☒

The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

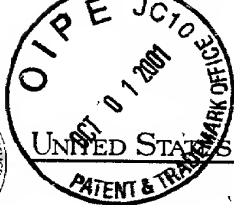
Respectfully submitted,

David A. Hall

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,651	07/03/2001	S. Lee	6097

CONFIRMATION NO. 9587

## FORMALITIES LETTER



\*OC000000006452987\*

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6th Floor  
4350 La Jolla Village Drive  
San Diego, CA 92122-1246

Date Mailed: 08/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

10/03/2001 TBESHANL 0000005+ 501213 09696651

01 FC:105 65.00 CH 65.00 DP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*